

**BOARD OF REGENTS\* and its  
AUDIT, COMPLIANCE AND TITLE IX COMMITTEE  
NEVADA SYSTEM OF HIGHER EDUCATION**

System Administration, Las Vegas  
4300 South Maryland Parkway, Board Room  
Thursday, June 10, 2021, 11:00 a.m.\*

*\*Time Approximate: The Audit, Compliance and Title IX Committee meeting may begin earlier than 11:00 a.m.  
upon adjournment of the Business, Finance and Facilities Committee meeting.*

Video or Telephone Conference Connection from the Meeting Site to:  
System Administration, Reno  
2601 Enterprise Road, Conference Room

A video conference connection will be made from the meeting site to the Reno System Administration Building, Conference Room, at 2601 Enterprise Road, Reno, Nevada. In the event the video conference connection is not functioning, a teleconference connection will be made available.

Members of the Board and/or the public may attend the meeting and provide testimony or public comment at these sites. In-person attendance may be limited in accordance with approved capacity and social distancing guidelines for Clark and Washoe Counties. Public comment may also be submitted via the online public comment form (<http://nshe.nevada.edu/public-comment/>) or voicemail (702-800-4705 or 775-300-7661).

Members of the public wishing to view the meeting may do so via live stream (<http://nshe.nevada.edu/live/>)

**ROLL CALL:**

Dr. Mark W. Doubrava, Chair	_____
Mrs. Carol Del Carlo, Vice Chair	_____
Mr. Joseph C. Arrascada	_____
Mr. Patrick J. Boylan	_____
Mr. Byron Brooks	_____
Dr. Patrick R. Carter	_____
Ms. Amy J. Carvalho	_____
Dr. Jason Geddes	_____
Mrs. Cathy McAdoo	_____
Mr. Donald Sylvantee McMichael Sr.	_____
Mr. John T. Moran	_____
Ms. Laura E. Perkins	_____
Dr. Lois Tarkanian	_____

**COMMITTEE**

**ROLL CALL:**

Dr. Patrick R. Carter, Chair	_____
Ms. Laura E. Perkins, Vice Chair	_____
Mr. Joseph C. Arrascada	_____
Dr. Jason Geddes	_____
Mr. Donald Sylvantee McMichael Sr.	_____

In addition to the Audit, Compliance and Title IX Committee, this meeting is noticed as a meeting of the Board of Regents to allow other Regents who may wish to attend to participate.

### **IMPORTANT INFORMATION ABOUT THE AGENDA AND PUBLIC MEETING**

**NOTE:** Below is an agenda of all items scheduled to be considered. Notification is hereby provided that items on the agenda may be taken out of the order presented, including moving an item to a different day if the meeting is noticed for more than one day, two or more agenda items may be combined for consideration, and an agenda item may be removed from the agenda or discussion relating to an item on the agenda may be delayed at any time.

In accordance with the Board of Regents' Bylaws, Title 1, Article V, Section 18, items voted on may be the subject of a motion to reconsider at this meeting. A motion to reconsider an item may be made at any time before adjournment of this meeting. Similarly, if an item is tabled at any time during the meeting, it may, by proper motion and vote, be taken from the table and thereafter be the subject of consideration and action at any time before adjournment of this meeting.

\*The Board of Regents, at its regularly scheduled meetings, meets concurrently with its Committees (the Academic, Research and Student Affairs Committee; the Audit, Compliance and Title IX Committee; the Business, Finance and Facilities Committee; the Cultural Diversity Committee; the Health Sciences System Committee; and the Security Committee). The Board's Committee meetings take place in accordance with the agendas published for those Committees. Regents who are not members of the Committees may attend the Committee meetings and participate in the discussion of Committee agenda items. However, action items will only be voted on by the members of each Committee, unless a Regent is temporarily made a member of that Committee under Board of Regents' Bylaws, Title 1, Article VI, Section 6. The full Board of Regents will consider Committee action items in accordance with the Board of Regents' agenda published for the current or for a subsequent meeting.

In accordance with the Board of Regents' Bylaws, Title 1, Art. V, Section 12, a quorum may be gained by telephonic hookup.

Some agenda items are noted as having accompanying reference material. Reference material may be accessed on the electronic version of the agenda by clicking the reference link associated with a particular item. The agenda and associated reference material may also be accessed on the Internet by visiting the Board of Regents' website at:

<https://nshe.nevada.edu/leadership-policy/board-of-regents/meeting-agendas/>

Many public libraries have publicly accessible computer terminals. Copies of the reference material and any additional support materials that are submitted to the Board of Regents' Office and then distributed to the members of the Board of Regents after the posting of this agenda but before the meeting, will be made available as follows: 1. Copies of any such materials are available at the Board of Regents' Office at 2601 Enterprise Road, Reno, Nevada and the Board of Regents' Office at 4300 South Maryland Parkway, Las Vegas, Nevada. A copy may be requested by calling Angela R. Palmer at (775) 784-3465; 2. Copies of any such materials will also be available at the meeting site.

Reasonable efforts will be made to assist and accommodate physically disabled persons attending the meeting. Please call the Board office at (775) 784-4958 in advance so that arrangements may be made.

## **1. PUBLIC COMMENT**

## **INFORMATION ONLY**

Public comment will be taken during this agenda item. No action may be taken on a matter raised under this item until the matter is included on an agenda as an item on which action may be taken. Comments will be limited to three minutes per person. Persons making comment will be asked to begin by stating their name for the record and to spell their last name. The Committee Chair may elect to allow additional public comment on a specific agenda item when that agenda item is being considered.

In accordance with Attorney General Opinion No. 00-047, as restated in the Attorney General's Open Meeting Law Manual, the Committee Chair may prohibit comment if the content of that comment is a topic that is not relevant to, or within the authority of, the Board of Regents, or if the content is willfully disruptive of the meeting by being irrelevant, repetitious, slanderous, offensive, inflammatory, irrational or amounting to personal attacks or interfering with the rights of other speakers.

**2. CONSENT ITEMS FOR POSSIBLE ACTION**

Consent items will be considered together and acted on in one motion unless an item is removed to be considered separately by the Committee.

**2a. MINUTES FOR POSSIBLE ACTION**

Request is made for approval of the March 4, 2021, meeting minutes. ([Ref. A-2a](#))

**2b. FOLLOW-UP: HUMAN RESOURCES, FOR POSSIBLE ACTION  
DRI**

The Committee may approve acceptance of the follow-up response to the audit report of DRI Human Resources for the period January 1, 2019, through December 31, 2019. ([Ref. A-2b](#))

*ESTIMATED TIME: 5 mins.*

**3. CHAIR'S REPORT INFORMATION ONLY**

Chair Patrick R. Carter will provide general remarks and update the Committee members regarding audit, compliance and Title IX efforts, including activities and actions, if any, of the Board of Regents or other Nevada System of Higher Education leaders since the last meeting of the Committee.

*ESTIMATED TIME: 5 mins.*

([See reference document: Audit Summary](#))

**4. FOUNDATION, GBC FOR POSSIBLE ACTION**

Chief Internal Auditor Joe Sunbury will present for approval the report and institutional response to the GBC Foundation audit for the period July 1, 2019, through March 31, 2020. The Committee will discuss the audit findings and recommendations and may recommend specific action to the Board of Regents and/or the Chancellor to address the findings and recommendations. ([Ref. A-4](#))

*ESTIMATED TIME: 5 mins.*

**5. FACILITIES MANAGEMENT, WNC FOR POSSIBLE ACTION**

Chief Internal Auditor Joe Sunbury will present for approval the report and institutional response to the WNC Facilities Management audit for the period July 1, 2018, through February 29, 2020. The Committee will discuss the audit findings and recommendations and may recommend specific action to the Board of Regents and/or the Chancellor to address the findings and recommendations. ([Ref. A-5](#))

*ESTIMATED TIME: 5 mins.*

**6. HOSTING, UNR FOR POSSIBLE ACTION**

Chief Internal Auditor Joe Sunbury will present for approval the report and institutional response to the UNR Hosting audit for the period July 1, 2019, through June 30, 2020. The Committee will discuss the audit findings and recommendations and may recommend specific action to the Board of Regents and/or the Chancellor to address the findings and recommendations. [\(Ref. A-6\)](#)

*ESTIMATED TIME: 5 mins.*

**7. INTERNAL AUDIT, COMPLIANCE INFORMATION ONLY  
AND TITLE IX UPDATES, NSHE**

Chief Internal Auditor Joe Sunbury will provide general remarks regarding departmental updates, initiatives and alignment related to on-going risk assessments. This includes updates on efforts regarding the upcoming quality assurance review and incident management processes. No specific action is requested on this agenda item; however, the Committee may provide general feedback and direction.

*ESTIMATED TIME: 5 mins.*

**8. COMMUNICATIONS WITH THOSE INFORMATION ONLY  
CHARGED WITH GOVERNANCE, NSHE**

Kim McCormick, Partner, Grant Thornton, LLP will present a report on Communications with Those Charged with Governance for the Nevada System of Higher Education for the year ended June 30, 2020. This is a report on communications related to the consolidated audit of the System and is required for compliance with the professional standards for CPA firms. [\(Ref. A-8\)](#)

*ESTIMATED TIME: 5 mins.*

**9. UNIFORM GUIDANCE AUDIT REPORT AND FOR POSSIBLE ACTION  
FINANCIAL STATEMENTS, NSHE**

Kim McCormick, Partner, Grant Thornton, LLP will present for approval the NSHE Uniform Guidance Audit Report and Financial Statements for the year ended June 30, 2020. [\(Ref. A-9\)](#)

*ESTIMATED TIME: 5 mins*

**10. AUDIT, COMPLIANCE & TITLE IX INFORMATION ONLY  
COMMITTEE DEVELOPMENT**

Matt Unterman, Principal – Advisory Services, Grant Thornton, LLP will lead a committee development workshop and provide general remarks related to a number of topics impacting higher education and underlying trends. The Committee may discuss these items as a way to ensure alignment with industry standards and best practices.

*ESTIMATED TIME: 45 mins*

**11. NEW BUSINESS**

**INFORMATION ONLY**

Items for consideration at future meetings may be suggested. Any discussion of an item under “New Business” is limited to description and clarification of the subject matter of the item, which may include the reasons for the request, and no substantive discussion may occur at this meeting on new business items in accordance with the Nevada Open Meeting Law (NRS 241.010 *et seq.*).

*ESTIMATED TIME: 5 mins.*

**12. PUBLIC COMMENT**

**INFORMATION ONLY**

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POSTED ON THE NEVADA SYSTEM OF HIGHER EDUCATION WEBSITE (<http://system.nevada.edu/>) AND ON THE NEVADA PUBLIC NOTICE WEBSITE PURSUANT TO NRS 232.2175 (<http://notice.nv.gov/>) AT THE SYSTEM ADMINISTRATION BUILDINGS AND E-MAILED FOR POSTING AT THE EIGHT NSHE INSTITUTIONS:

CSN, Building D, 1<sup>st</sup> Floor, 6375 W. Charleston Boulevard, Las Vegas, NV 89146  
DRI, Maxey Building, 2215 Raggio Parkway, Reno, NV 89512  
DRI, Southern Nevada Science Center, 755 E. Flamingo Road, Las Vegas, NV 89119  
GBC, Berg Hall, 1500 College Parkway, Elko, NV 89801  
NSC, Rogers Student Center, 1<sup>st</sup> Floor, 1300 Nevada State Drive, Henderson, NV 89002  
TMCC, Red Mountain Building (RDMT 200), 7000 Dandini Boulevard, Reno, NV 89512  
UNLV, Flora Dungan Humanities Building (FDH), 4505 Maryland Pkwy, Las Vegas, NV 89154  
UNR, Clark Administration, University of Nevada, Reno, Reno, NV 89557  
WNC, Bristlecone Building Lobby, 2201 W. College Parkway, Carson City, NV 89703  
System Administration, 4300 South Maryland Parkway, Las Vegas, NV 89119  
System Administration, 2601 Enterprise Road, Reno, NV 89512