*Time Approximate: The Audit, Compliance and Title IX Committee meeting may begin earlier than 11:30 a.m. upon adjournment of the Business, Finance and Facilities Committee meeting.

THIS MEETING WILL BE HELD VIA VIDEOCONFERENCE AND TELECONFERENCE ONLY PURSUANT TO SECTION 1 OF THE DECLARATION OF EMERGENCY DIRECTIVE 006 (“DIRECTIVE 006”), AND EXTENDED BY DIRECTIVES 016, 018, 021, 026, 029 AND 033, ISSUED BY THE STATE OF NEVADA EXECUTIVE DEPARTMENT. THERE WILL BE NO PHYSICAL LOCATION FOR THE MEETING.

PUBLIC COMMENT MAY BE SUBMITTED VIA THE ONLINE PUBLIC COMMENT FORM (http://nshe.nevada.edu/public-comment/) OR VOICEMAIL (702-800-4705 or 775-300-7661). MESSAGES RECEIVED BY 4:00 P.M. ON WEDNESDAY, DECEMBER 2, 2020, MAY BE ENTERED INTO THE RECORD DURING THE MEETING. ANY OTHER PUBLIC COMMENT FORM SUBMISSIONS AND/OR VOICEMAILS RECEIVED PRIOR TO THE ADJOURNMENT OF THE MEETING WILL BE TRANSCRIBED AND INCLUDED IN THE PERMANENT RECORD.

MEMBERS OF THE PUBLIC WISHING TO VIEW THE MEETING MAY DO SO VIA LIVE STREAM (https://nshe.nevada.edu/live/).

**ROLL CALL:**

Dr. Mark W. Doubrava, Chair
Mrs. Carol Del Carlo, Vice Chair
Dr. Patrick R. Carter
Ms. Amy J. Carvalho
Dr. Jason Geddes
Mr. Trevor Hayes
Ms. Lisa C. Levine
Mrs. Cathy McAdoo
Mr. Donald Sylvantee McMichael Sr.
Mr. John T. Moran
Mr. Kevin J. Page
Ms. Laura E. Perkins
Mr. Rick Trachok

**COMMITTEE ROLL CALL:**

Dr. Patrick R. Carter, Chair
Ms. Laura E. Perkins, Vice Chair
Dr. Jason Geddes
Mr. Trevor Hayes
Mr. Donald Sylvantee McMichael Sr.
In addition to the Audit, Compliance and Title IX Committee, this meeting is noticed as a meeting of the Board of Regents to allow other Regents who may wish to attend to participate.

IMPORTANT INFORMATION ABOUT THE AGENDA AND PUBLIC MEETING

NOTE: Below is an agenda of all items scheduled to be considered. Notification is hereby provided that items on the agenda may be taken out of the order presented, including moving an item to a different day if the meeting is noticed for more than one day, two or more agenda items may be combined for consideration, and an agenda item may be removed from the agenda or discussion relating to an item on the agenda may be delayed at any time.

In accordance with the Board of Regents’ Bylaws, Title I, Article V, Section 18, items voted on may be the subject of a motion to reconsider at this meeting. A motion to reconsider an item may be made at any time before adjournment of this meeting. Similarly, if an item is tabled at any time during the meeting, it may, by proper motion and vote, be taken from the table and thereafter be the subject of consideration and action at any time before adjournment of this meeting.

*The Board of Regents, at its regularly scheduled meetings, meets concurrently with its Committees (the Academic, Research and Student Affairs Committee; the Audit, Compliance and Title IX Committee; the Business, Finance and Facilities Committee; the Cultural Diversity Committee; the Health Sciences System Committee; and the Security Committee). The Board’s Committee meetings take place in accordance with the agendas published for those Committees. Regents who are not members of the Committees may attend the Committee meetings and participate in the discussion of Committee agenda items. However, action items will only be voted on by the members of each Committee, unless a Regent is temporarily made a member of that Committee under Board of Regents’ Bylaws, Title 1, Article VI, Section 6. The full Board of Regents will consider Committee action items in accordance with the Board of Regents’ agenda published for the current or for a subsequent meeting.

In accordance with the Board of Regents’ Bylaws, Title 1, Art. V, Section 12, a quorum may be gained by telephonic hookup.

Some agenda items are noted as having accompanying reference material. Reference material may be accessed on the electronic version of the agenda by clicking the reference link associated with a particular item. The agenda and associated reference material may also be accessed on the Internet by visiting the Board of Regents’ website at:

https://nshe.nevada.edu/leadership-policy/board-of-regents/meeting-agendas/

Pursuant to Section 5 of Directive 006, and extended by Directives 016, 018, 021, 026, 029 and 033, the requirement contained in NRS 241.020(3)(c) that physical locations be available for the public to receive supporting material for public meetings has been suspended. Pursuant to Section 6 of Directive 006, and extended by Directives 016, 018, 021, 026, 029 and 033, copies of the reference material and any additional support materials that are submitted to the Board of Regents’ Office and then distributed to the members of the Board of Regents after the posting of this agenda but before the meeting, will be made available upon request by calling Angela R. Palmer at (775) 784-4958.

Reasonable efforts will be made to assist and accommodate physically disabled persons to participate in the meeting. Please call the Board office at (775) 784-4958 in advance so that arrangements may be made.
1. PUBLIC COMMENT

Public comment will be taken during this agenda item. No action may be taken on a matter raised under this item until the matter is included on an agenda as an item on which action may be taken. Comments will be limited to three minutes per person. Persons making comment will be asked to begin by stating their name for the record and to spell their last name. The Committee Chair may elect to allow additional public comment on a specific agenda item when that agenda item is being considered.

Pursuant to Section 2 of Directive 006, and extended by 016, 018, 021, 026, 029 and 033, members of the public may participate in the meeting without being physically present by submitting public comment via the online Public Comment Form (http://nshe.nevada.edu/public-comment/) or voicemail (702-800-4705 or 775-300-7661). Messages received by 4:00 p.m. on Wednesday, December 2, 2020, may be entered into the record during the meeting. Any other Public Comment Form submissions and/or voicemails received prior to the adjournment of the meeting will be transcribed and included in the permanent record. Persons making comment are asked to begin by stating their name for the record and to spell their last name. The Committee Chair may elect to allow additional public comment on a specific agenda item when that agenda item is being considered.

In accordance with Attorney General Opinion No. 00-047, as restated in the Attorney General’s Open Meeting Law Manual, the Committee Chair may prohibit comment if the content of that comment is a topic that is not relevant to, or within the authority of, the Board of Regents, or if the content is willfully disruptive of the meeting by being irrelevant, repetitious, slanderous, offensive, inflammatory, irrational or amounting to personal attacks or interfering with the rights of other speakers.

2. CONSENT ITEMS

Consent items will be considered together and acted on in one motion unless an item is removed to be considered separately by the Committee.

2a. MINUTES FOR POSSIBLE ACTION

Request is made for approval of the September 10, 2020, meeting minutes. (Ref. A-2a)

2b. FOLLOW-UP: CENTER FOR ACADEMIC ENRICHMENT AND OUTREACH, UNLV FOR POSSIBLE ACTION

The Committee may approve acceptance of the follow-up response to the audit report of UNLV Center for Academic Enrichment and Outreach for the period October 1, 2017, through September 30, 2019. (Ref. A-2b)
2. CONSENT ITEMS – (Continued) FOR POSSIBLE ACTION

2c. FOLLOW-UP: CHILD DEVELOPMENT CENTER, WNC FOR POSSIBLE ACTION

The Committee may approve acceptance of the follow-up response to the audit report of WNC Child Development Center for the period July 1, 2018, through October 31, 2019. (Ref. A-2c)

2d. FOLLOW-UP: SPONSORED PROJECTS, UNR FOR POSSIBLE ACTION

The Committee may approve acceptance of the follow-up response to the audit report of UNR Sponsored Projects for the period January 1, 2018, through March 31, 2019. (Ref. A-2d)

ESTIMATED TIME: 5 mins.

3. CHAIR’S REPORT INFORMATION ONLY

Chair Patrick Carter will provide general remarks and update the Committee members regarding audit, compliance, and Title IX efforts, including activities and actions, if any, of the Board of Regents or other Nevada System of Higher Education leaders since the last meeting of the Committee.

ESTIMATED TIME: 5 mins.

(See reference document: Audit Summary)

4. HUMAN RESOURCES, DRI FOR POSSIBLE ACTION

Chief Internal Auditor Joe Sunbury will present for approval the report and institutional response to the DRI Human Resources audit for the period January 1, 2019, through December 31, 2019. The Committee will discuss the audit findings and recommendations and may recommend specific action to the Board of Regents and/or the Chancellor to address the findings and recommendations. (Ref. A-4)

ESTIMATED TIME: 5 mins.

5. FOLLOW-UP: DENTAL FACULTY PRACTICE, CSN FOR POSSIBLE ACTION

Chief Internal Auditor Joe Sunbury and CSN Campus Vice President Dr. Sonya Pearson will present for approval the requested follow-up report with CSN Dental Faculty Practice responses to the audit recommendations presented and approved at the June 11, 2020, and September 10, 2020, meetings. The Committee will discuss the audit findings, recommendations and updates and may recommend specific action to the Board of Regents and/or the Chancellor to address the findings and recommendations. (Ref. A-5)

ESTIMATED TIME: 10 mins.
6. **INTERNAL AUDIT, COMPLIANCE AND TITLE IX UPDATES, NSHE**

   Chief Internal Auditor Joe Sunbury will provide general remarks regarding departmental updates, initiatives, and alignment related to ongoing risk assessments. This includes updates on efforts regarding incident management processes. No specific action is requested on this agenda item; however, the Committee may provide general feedback and direction. *(Ref. A-6)*

   **ESTIMATED TIME:** 10 mins.

7. **COMMUNICATIONS WITH THOSE CHARGED WITH GOVERNANCE, UNIVERSITY OF NEVADA, RENO SCHOOL OF MEDICINE INTEGRATED CLINICAL SERVICES, INC.**

   Grant Thornton, LLP will present a report on Communications with Those Charged with Governance for the University of Nevada, Reno School of Medicine Integrated Clinical Services, Inc. for the year ended June 30, 2020, in line with requirements for compliance with the professional standards for CPA firms. *(Ref. A-7)*

   **ESTIMATED TIME:** 5 mins.

8. **FINANCIAL STATEMENTS, UNIVERSITY OF NEVADA, RENO SCHOOL OF MEDICINE INTEGRATED CLINICAL SERVICES, INC.**

   Grant Thornton, LLP will present for approval the University of Nevada, Reno School of Medicine Integrated Clinical Services, Inc. Financial Statements for the year ended June 30, 2020. *(Ref. A-8)*

   **ESTIMATED TIME:** 10 mins.

9. **COMMUNICATIONS WITH THOSE CHARGED WITH GOVERNANCE, UNIVERSITY OF NEVADA, LAS VEGAS MEDICINE, INC.**

   Grant Thornton, LLP will present a report on Communications with Those Charged with Governance for the UNLV Medicine, Inc. for the year ended June 30, 2020, in line with requirements for compliance with the professional standards for CPA firms. *(Ref. A-9)*

   **ESTIMATED TIME:** 5 mins.

10. **FINANCIAL STATEMENTS, UNIVERSITY OF NEVADA, LAS VEGAS MEDICINE, INC.**

    Grant Thornton, LLP will present for approval the UNLV Medicine, Inc. Financial Statements for the year ended June 30, 2020. *(Ref. A-10)*

    **ESTIMATED TIME:** 10 mins.
11. COMMUNICATIONS WITH THOSE CHARGED WITH GOVERNANCE, NSHE

Grant Thornton, LLP will present for approval a report on Communications with Those Charged with Governance for the Nevada System of Higher Education for the year ended June 30, 2020. This is a report on communications related to the consolidated audit of the System and is required for compliance with the professional standards for CPA firms.

*ESTIMATED TIME:* 5 mins.

12. FINANCIAL STATEMENTS, NSHE

Grant Thornton, LLP will present for approval the NSHE Financial Statements for the year ended June 30, 2020.

*ESTIMATED TIME:* 10 mins

13. NEW BUSINESS

Items for consideration at future meetings may be suggested. Any discussion of an item under “New Business” is limited to description and clarification of the subject matter of the item, which may include the reasons for the request, and no substantive discussion may occur at this meeting on new business items in accordance with the Nevada Open Meeting Law (NRS 241.010 et seq.).

*ESTIMATED TIME:* 5 mins.
14. PUBLIC COMMENT

Public comment will be taken during this agenda item. No action may be taken on a matter raised under this item until the matter is included on an agenda as an item on which action may be taken. Comments will be limited to three minutes per person. Persons making comment will be asked to begin by stating their name for the record and to spell their last name. The Committee Chair may elect to allow additional public comment on a specific agenda item when that agenda item is being considered.

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